

CHAPTER 11  
PERSONAL FINANCIAL DISCLOSURE

**351—11.1(68B) Filing requirements and procedures.**

**11.1(1)** All persons who are required to file a personal financial disclosure statement with the board pursuant to Iowa Code section 68B.35(2) shall file the statements with the board on or before April 30 of each year following a year during which the person holds a designated position, without regard to the length of time the position was occupied by the person. A person who fills a designated position in an “acting” capacity shall also file a personal financial disclosure statement in the subsequent year. A person who held a designated position who leaves that position or state employment shall have a continuing obligation to file the statement for all years or portions of a year the position was held prior to termination. A person who held a designated position who leaves that position prior to the end of a calendar year and does not immediately assume another designated position shall file the statement for the current year with the board within 30 days after leaving the designated position.

**11.1(2)** Persons who are candidates for statewide office shall file reports with the board no later than 30 days after the date on which a person is required to file nomination papers for state office under Iowa Code section 43.11.

**11.1(3)** Statements shall be filed on Form PFD as designated by the board and shall cover the calendar year immediately preceding the year due. However, a statement filed by a person who has left a designated position during the course of a year need only contain information covering the portion of that year which has elapsed prior to the person’s leaving the position.

**11.1(4)** For the purpose of completing Form PFD, income sources which shall be reported include those sources which are held jointly with one or more persons and which in total generate more than \$1000 of income. “Jointly” means that the ownership of the income source is undivided among the owners, and that all owners have one and the same interest in an undivided possession, each with full rights of use and enjoyment of the total income. Sources of income which are co-owned but with ownership interests which are legally divisible, without full rights of use or enjoyment of the total income, need not be reported unless the person’s portion of the income from that source exceeds \$1000.

**11.1(5)** For purposes of completing Form PFD, income earned solely by the spouse of a person subject to reporting is not income to that person and need not be reported as an income source.

**11.1(6)** The forms may be filed with the board by mailing the form to: IECDB, 514 East Locust, Suite 104, Des Moines, Iowa 50309. These forms will be recorded through electronic means, and the electronic image of the forms will be retained in active files for a period of at least two years, after which time the imaged forms will be placed in electronic data archives for a period of at least ten years. The paper form will be destroyed after form imaging and electronic data backup. The electronic image of the form shall be available for inspection through the board’s office. Printed copies of imaged forms may be obtained from the board in the same manner as for copies of other public records of the board.

**351—11.2(68B) Persons who are required to file with the board—by agency.** For the purpose of Iowa Code section 68B.35(2), persons who hold positions described below are designated as subject to personal financial disclosure requirements. An agency in which a position is modified, created, or deleted such that the agency’s position designations below should be modified should notify the board of the change in writing, requesting the board modify its rules. Persons who are aware of an undesignated position which appears to meet a criteria in Iowa Code section 68B.35(2) may make inquiry regarding that position to the board.

	POSITION TITLE	Iowa Code Authority
1.	AGRICULTURE AND LAND STEWARDSHIP, DEPARTMENT OF	
a.	Secretary of Agriculture	§68B.35(2)“a”
b.	Deputy Secretary of Agriculture	§68B.35(2)“c”
c.	Director, Administrative Division	§68B.35(2)“d”
d.	Director, Regulatory Division	§68B.35(2)“d”
e.	Director, Laboratory Division	§68B.35(2)“d”
f.	Director, Soil Conservation Division	§68B.35(2)“d”
g.	Director, Ag. Development Authority	§68B.35(2)“d”
h.	State Veterinarian	§68B.35(2)“d”
i.	Bureau Chief, Accounting Bureau	§68B.35(2)“d”
j.	Bureau Chief, Agricultural Marketing Bureau	§68B.35(2)“d”
2.	AUDITOR’S OFFICE	
a.	Auditor of State	§68B.35(2)“a”
b.	Deputy Auditor of State	§68B.35(2)“c”
c.	Deputy, Administrative Division	§68B.35(2)“c”
d.	Deputy, Performance Audit Division	§68B.35(2)“c”
e.	Deputy, Financial Audit Division	§68B.35(2)“c”
3.	BLIND, DEPARTMENT FOR THE	
a.	Director	§68B.35(2)“b”
b.	Sr. Program Administrator, Fiscal & Admin.	§68B.35(2)“d”
c.	Program Administrator, Field Operations Div.	§68B.35(2)“d”
d.	Program Administrator, Bus. Ent. Prog.	§68B.35(2)“d”
4.	CIVIL RIGHTS COMMISSION	
a.	Executive Director	§68B.35(2)“b”
b.	Internal Administrative Law Judge	§68B.35(2)“d”
5.	COLLEGE STUDENT AID COMMISSION	
a.	Executive Director	§68B.35(2)“b”
b.	Director, Finance and Claims Administration	§68B.35(2)“d”
6.	COMMERCE, DEPARTMENT OF	
a.	Director	§68B.35(2)“b”
	<u>Alcoholic Beverages Division</u>	
b.	Administrator	§68B.35(2)“b”
c.	Customer Services Bureau Chief	§68B.35(2)“d”
d.	Licensing & Regulatory Bureau Chief	§68B.35(2)“d”
e.	Operations Management Bureau Chief	§68B.35(2)“d”

Banking Division

f.	Superintendent of Banking	§68B.35(2) “b”
g.	Deputy Superintendent of Banking	§68B.35(2) “c”
h.	Asst. Superintendent/Personnel	§68B.35(2) “d”
i.	Asst. Superintendent/Budget	§68B.35(2) “d”
j.	Banking Board Member - 1	§68B.35(2) “e”
k.	Banking Board Member - 2	§68B.35(2) “e”
l.	Banking Board Member - 3	§68B.35(2) “e”
m.	Banking Board Member - 4	§68B.35(2) “e”
n.	Banking Board Member - 5	§68B.35(2) “e”
o.	Banking Board Member - 6	§68B.35(2) “e”

Credit Union Division

p.	Superintendent	§68B.35(2) “b”
q.	Credit Union Review Board Member - 1	§68B.35(2) “e”
r.	Credit Union Review Board Member - 2	§68B.35(2) “e”
s.	Credit Union Review Board Member - 3	§68B.35(2) “e”
t.	Credit Union Review Board Member - 4	§68B.35(2) “e”
u.	Credit Union Review Board Member - 5	§68B.35(2) “e”
v.	Credit Union Review Board Member - 6	§68B.35(2) “e”
w.	Credit Union Review Board Member - 7	§68B.35(2) “e”

Insurance Division

x.	Insurance Commissioner	§68B.35(2) “b”
y-1.	Deputy Comm’r., Prop./Casualty-Life/Health	§68B.35(2) “c”
y-2.	Asst. Comm’r., Consumer/Legal Affairs, Agent Licensing	§68B.35(2) “c”
z.	Superintendent of Securities	§68B.35(2) “d”
aa.	Administrator, Insurance Co. Examinations	§68B.35(2) “d”

Professional Licensing Division

ab.	Administrator	§68B.35(2) “b”
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Utilities Division

ac.	Utilities Board Member - 1	§68B.35(2) “e”
ad.	Utilities Board Member - 2	§68B.35(2) “e”
ae.	Utilities Board Member - 3	§68B.35(2) “e”
af.	General Counsel - Bureau Chief	§68B.35(2) “d”
ag.	Rate & Safety Evaluation Bureau Chief	§68B.35(2) “d”

## 7. CORRECTIONS, DEPARTMENT OF

a.	Director	§68B.35(2) “b”
b.	Deputy Director - Community Services	§68B.35(2) “c”
c.	Deputy Director - Industries	§68B.35(2) “c”
d.	Deputy Director - Institutions	§68B.35(2) “c”
e.	Deputy Director - Administration	§68B.35(2) “c”

f.	Director, Community Corrections, Dist. 1	§68B.35(2) “d”
g.	Director, Community Corrections, Dist. 2	§68B.35(2) “d”
h.	Director, Community Corrections, Dist. 3	§68B.35(2) “d”
i.	Director, Community Corrections, Dist. 4	§68B.35(2) “d”
j.	Director, Community Corrections, Dist. 5	§68B.35(2) “d”
k.	Director, Community Corrections, Dist. 6	§68B.35(2) “d”
l.	Director, Community Corrections, Dist. 7	§68B.35(2) “d”
m.	Director, Community Corrections, Dist. 8	§68B.35(2) “d”
n.	Warden, Anamosa Correctional Facility	§68B.35(2) “d”
o.	Warden, Ft. Madison Correctional Facility	§68B.35(2) “d”
p.	Warden, Mitchellville Correctional Facility	§68B.35(2) “d”
q.	Warden, Newton Correctional Facility	§68B.35(2) “d”
r.	Warden, Oakdale Correctional Facility	§68B.35(2) “d”
s.	Warden, Rockwell City Correctional Facility	§68B.35(2) “d”
t.	Superintendent, Clarinda Corr. Facility	§68B.35(2) “d”
u.	Superintendent, Mt. Pleasant Corr. Facility	§68B.35(2) “d”
8.	CULTURAL AFFAIRS, DEPARTMENT OF	
a.	Director	§68B.35(2) “b”
b.	Historical Society Administrator	§68B.35(2) “d”
9.	ECONOMIC DEVELOPMENT, DEPARTMENT OF	
a.	Director	§68B.35(2) “b”
b.	Deputy Director	§68B.35(2) “c”
c.	Chief, General Administration	§68B.35(2) “d”
d.	Administrator, Business Development Division	§68B.35(2) “d”
e.	Administrator, Community & Rural Devel. Div.	§68B.35(2) “d”
f.	Administrator, International Division	§68B.35(2) “d”
g.	Administrator, Tourism Division	§68B.35(2) “d”
h.	Administrator, Workforce Devel. Division	§68B.35(2) “d”
i.	Reserved	
j.	Chief, Communications Bureau	§68B.35(2) “d”
k.	Manager, Film Office	§68B.35(2) “d”
l.	Reserved	
m.	Reserved	
n.	Chief, Business Finance Bureau	§68B.35(2) “d”
o.	Chief, Community Financing Bureau	§68B.35(2) “d”
p.	Chief, Community Assistance Bureau	§68B.35(2) “d”
q.	Chief, State Programs Bureau	§68B.35(2) “d”
r.	Chief, Federal Programs Bureau	§68B.35(2) “d”
s.	Chief, Support Services Bureau	§68B.35(2) “d”

Economic Development Board

(Ten voting members appointed by governor)

t.	Economic Development Board Member - 1	§68B.35(2) “e”
u.	Economic Development Board Member - 2	§68B.35(2) “e”
v.	Economic Development Board Member - 3	§68B.35(2) “e”
w.	Economic Development Board Member - 4	§68B.35(2) “e”
x.	Economic Development Board Member - 5	§68B.35(2) “e”
y.	Economic Development Board Member - 6	§68B.35(2) “e”
z.	Economic Development Board Member - 7	§68B.35(2) “e”
aa.	Economic Development Board Member - 8	§68B.35(2) “e”
ab.	Economic Development Board Member - 9	§68B.35(2) “e”
ac.	Economic Development Board Member - 10	§68B.35(2) “e”

Iowa Seed Capital Corporation

ad.	President	§68B.35(2) “b”
ae.	Iowa Seed Capital Corp. Director - 1	§68B.35(2) “e”
af.	Iowa Seed Capital Corp. Director - 2	§68B.35(2) “e”
ag.	Iowa Seed Capital Corp. Director - 3	§68B.35(2) “e”
ah.	Iowa Seed Capital Corp. Director - 4	§68B.35(2) “e”
ai.	Iowa Seed Capital Corp. Director - 5	§68B.35(2) “e”
aj.	Iowa Seed Capital Corp. Director - 6	§68B.35(2) “e”
ak.	Iowa Seed Capital Corp. Director - 7	§68B.35(2) “e”
al.	Reserved	
am.	Reserved	
an.	Reserved	

Iowa Finance Authority

ao.	Executive Director	§68B.35(2) “b”
ap.	Deputy Director	§68B.35(2) “c”
aq.	Chief Financial Officer	§68B.35(2) “d”
ar.	Title Guarantee Division Director	§68B.35(2) “d”
as.	Finance Authority Member - 1	§68B.35(2) “e”
at.	Finance Authority Member - 2	§68B.35(2) “e”
au.	Finance Authority Member - 3	§68B.35(2) “e”
av.	Finance Authority Member - 4	§68B.35(2) “e”
aw.	Finance Authority Member - 5	§68B.35(2) “e”
ax.	Finance Authority Member - 6	§68B.35(2) “e”
ay.	Finance Authority Member - 7	§68B.35(2) “e”
az.	Finance Authority Member - 8	§68B.35(2) “e”
ba.	Finance Authority Member - 9	§68B.35(2) “e”

## 10. EDUCATION, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Administrator, Library Services Division §68B.35(2) “d”
- c. Administrator, Voc. Rehab. Serv. Division §68B.35(2) “d”
- d. Administrator, Community Colleges Division §68B.35(2) “d”
- e. Administrator, Fin. & Inf. Services Division §68B.35(2) “d”
- f. Administrator, Elem. & Secondary Ed. Div. §68B.35(2) “d”
- g. Administrator, Office of Educ. Services §68B.35(2) “d”

Vocational Education Council

- h. Executive Director §68B.35(2) “b”

Educational Examiners Board

- i. Executive Director §68B.35(2) “b”

FINE Foundation

- j. Executive Director §68B.35(2) “b”

## 11. ELDER AFFAIRS, DEPARTMENT OF

- a. Executive Director §68B.35(2) “b”
- b. Administrator, Administration Division §68B.35(2) “d”
- c. Administrator, Elder Rights Division §68B.35(2) “d”

## 12. EMPLOYMENT SERVICES, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Chief, Research & Data Services Bureau §68B.35(2) “d”
- c. Reserved
- d. Chief, Customer Service Bureau §68B.35(2) “d”

Job Service Division

- e. Reserved
- f. Chief, Contribution & Benefit Services Bureau §68B.35(2) “d”
- g. Chief, Workforce Center Administration Bureau §68B.35(2) “d”
- h. Supervisor, Field Operations Region 1 §68B.35(2) “d”
- i. Supervisor, Field Operations Region 2 §68B.35(2) “d”
- j. Supervisor, Field Operations Region 3 §68B.35(2) “d”
- k. Supervisor, Field Operations Region 4 §68B.35(2) “d”

Labor Services Division

- l. Commissioner §68B.35(2) “b”
- m. Chief, Occupational Safety & Health Bureau §68B.35(2) “d”
- n. Chief, Consultation & Education Bureau §68B.35(2) “d”
- o. Chief, Employee Protection Bureau §68B.35(2) “d”
- p. Chief, Inspections & Reporting Bureau §68B.35(2) “d”

Industrial Services Division

- q. Commissioner §68B.35(2) “b”
  - r. Assistant Industrial Commissioner §68B.35(2) “c”
13. ETHICS AND CAMPAIGN DISCLOSURE BOARD, IOWA
- a. ECD Board Member - 1 §68B.35(2) “e”
  - b. ECD Board Member - 2 §68B.35(2) “e”
  - c. ECD Board Member - 3 §68B.35(2) “e”
  - d. ECD Board Member - 4 §68B.35(2) “e”
  - e. ECD Board Member - 5 §68B.35(2) “e”
  - f. ECD Board Member - 6 §68B.35(2) “e”
  - g. Executive Director §68B.35(2) “b”
14. GENERAL SERVICES, DEPARTMENT OF
- a. Director §68B.35(2) “b”
  - b. Team Leader, Communications §68B.35(2) “d”
  - c. Team Leader, Customer Service, Admin. & Purch. §68B.35(2) “d”
  - d. Team Leader, Data Operations §68B.35(2) “d”
  - e. Team Leader, Design & Construction §68B.35(2) “d”
  - f. Team Leader, Technology, Ed. & Consultation §68B.35(2) “d”
  - g. Team Leader, Printing & Records §68B.35(2) “d”
  - h. Team Leader, Fleet & Mail §68B.35(2) “d”
  - i. Team Leader, Applications Systems & Programming §68B.35(2) “d”
  - j. Team Leader, Custodial Services §68B.35(2) “d”
  - k. Team Leader, Buildings & Grounds §78B.35(2) “d”
15. GOVERNOR’S ALLIANCE ON SUBSTANCE ABUSE
- a. Director §68B.35(2) “b”
16. GOVERNOR’S OFFICE
- a. Governor §68B.35(2) “a”
  - b. Lieutenant Governor §68B.35(2) “a”
  - c. Chief of Staff §68B.35(2) “d”
17. HUMAN RIGHTS, DEPARTMENT OF
- a. Director §68B.35(2) “b”
  - b. Div. Administrator, Comm. Action Agencies §68B.35(2) “d”
  - c. Div. Administrator, Crim. & Juv. Just. Plng. §68B.35(2) “d”
  - d. Div. Administrator, Deaf Services §68B.35(2) “d”
  - e. Div. Administrator, Latino Affairs §68B.35(2) “d”
  - f. Div. Administrator, Persons w/ Disabilities §68B.35(2) “d”
  - g. Div. Administrator, Status of African Amer. §68B.35(2) “d”
  - h. Div. Administrator, Status of Women §68B.35(2) “d”

## 18. HUMAN SERVICES, DEPARTMENT OF

a.	Director	§68B.35(2) “b”
b.	Deputy Director for Administration	§68B.35(2) “c”
c.	Deputy Director for Services	§68B.35(2) “c”
d.	Administrator, Fiscal Management Division	§68B.35(2) “d”
e.	Administrator, Support Services Division	§68B.35(2) “d”
f.	Administrator, Data Management Division	§68B.35(2) “d”
g.	Administrator, MH/DD Division	§68B.35(2) “d”
h.	Administrator, Medical Services Division	§68B.35(2) “d”
i.	Administrator, Policy Coordination Division	§68B.35(2) “d”
j.	Administrator, Economic Assistance Division	§68B.35(2) “d”
k.	Administrator, Adult/Child./Fam. Serv. Div.	§68B.35(2) “d”
l.	Superintendent, Glenwood State Hosp.-School	§68B.35(2) “d”
m.	Superintendent, Woodward State Hosp.-School	§68B.35(2) “d”
n.	Superintendent, Cherokee Mental Health Inst.	§68B.35(2) “d”
o.	Superintendent, Clarinda Mental Health Inst.	§68B.35(2) “d”
p.	Superintendent, Independence M.H.I.	§68B.35(2) “d”
q.	Superintendent, Mt. Pleasant M.H.I.	§68B.35(2) “d”
r.	Superintendent, Iowa Juvenile Home (Toledo)	§68B.35(2) “d”
s.	Superintendent, Iowa State Training School	§68B.35(2) “d”
t.	Regional Administrator, Cedar Rapids Region	§68B.35(2) “d”
u.	Regional Administrator, Council Bluffs Reg.	§68B.35(2) “d”
v.	Regional Administrator, Des Moines Region	§68B.35(2) “d”
w.	Regional Administrator, Sioux City Region	§68B.35(2) “d”
x.	Regional Administrator, Waterloo Region	§68B.35(2) “d”

## 19. INSPECTIONS AND APPEALS, DEPARTMENT OF

a.	Director	§68B.35(2) “b”
b.	Deputy Director	§68B.35(2) “c”
c.	Administrator, Appeals Division	§68B.35(2) “d”
d.	Administrator, Audits Division	§68B.35(2) “d”
e.	Administrator, Investigations Division	§68B.35(2) “d”
f.	Administrator, Health Facilities Division	§68B.35(2) “d”
g.	Administrator, Finance Division	§68B.35(2) “d”
h.	Chief, Budget & Accounting Bureau	§68B.35(2) “d”
i.	Employment Appeal Board Member - 1	§68B.35(2) “e”
j.	Employment Appeal Board Member - 2	§68B.35(2) “e”
k.	Employment Appeal Board Member - 3	§68B.35(2) “e”
l.	Administrator, Racing & Gaming Commission	§68B.35(2) “d”
m.	Racing & Gaming Commission - Member 1	§68B.35(2) “e”
n.	Racing & Gaming Commission - Member 2	§68B.35(2) “e”
o.	Racing & Gaming Commission - Member 3	§68B.35(2) “e”
p.	Racing & Gaming Commission - Member 4	§68B.35(2) “e”
q.	Racing & Gaming Commission - Member 5	§68B.35(2) “e”

r.	State Public Defender	§68B.35(2) “b”
s.	State Appellate Defender	§68B.35(2) “d”
t.	Public Defender Supervisor, Black Hawk Co.	§68B.35(2) “d”
u.	Public Defender Supervisor, Cerro Gordo Co.	§68B.35(2) “d”
v.	Public Defender Supervisor, Des Moines Co.	§68B.35(2) “d”
w.	Public Defender Supervisor, Dubuque Co.	§68B.35(2) “d”
x.	Public Defender Supervisor, Lee Co.	§68B.35(2) “d”
y.	Public Defender Supervisor, Linn Co.	§68B.35(2) “d”
z.	Public Defender Supervisor, Polk Co.-Adult	§68B.35(2) “d”
aa.	Public Defender Supervisor, Polk Co.-Juv.	§68B.35(2) “d”
ab.	Public Defender Supervisor, Pottawattamie Co.	§68B.35(2) “d”
ac.	Public Defender Supervisor, Story Co.	§68B.35(2) “d”
ad.	Public Defender Supervisor, Woodbury Co.	§68B.35(2) “d”
ae.	Director, Foster Care Review Board	§68B.35(2) “d”
20.	IOWA PUBLIC TELEVISION	
a.	Executive Director	§68B.35(2) “b”
b.	Director of Administration	§68B.35(2) “d”
c.	Director of Development	§68B.35(2) “d”
d.	Director of Engineering	§68B.35(2) “d”
e.	Director of Educational Telecommunications	§68B.35(2) “d”
f.	Director of Programming	§68B.35(2) “d”
21.	JUSTICE, DEPARTMENT OF	
a.	Attorney General	§68B.35(2) “a”
b.	Executive Deputy Attorney General	§68B.35(2) “d”
c.	Deputy Attorney General - Civil Justice Bur.	§68B.35(2) “d”
d.	Deputy Attorney General - Public Prot. Bur.	§68B.35(2) “d”
e.	Deputy Attorney General - Crim. Justice Bur.	§68B.35(2) “d”
f.	Director, Revenue Division	§68B.35(2) “d”
g.	Director, Special Litigation Division	§68B.35(2) “d”
h.	Director, Transportation Division	§68B.35(2) “d”
i.	Director, Regents & Human Services	§68B.35(2) “d”
j.	Director, Environmental & Agricultural Law Division	§68B.35(2) “d”
k.	Director, Consumer Protection Division	§68B.35(2) “d”
l.	Director, Licensing & Admin. Law Division	§68B.35(2) “d”
m.	Director, Criminal Appeals Division	§68B.35(2) “d”
n.	Director, Area Prosecution Division	§68B.35(2) “d”
o.	Administrator, Crime Victims Assist. Prog.	§68B.35(2) “d”
p.	Coordinator, Pros. Att’ys Training Council	§68B.35(2) “d”
q.	Consumer Advocate	§68B.35(2) “e”
r.	Administrator, Admin. Services Division	§68B.35(2) “d”

## 22. LAW ENFORCEMENT ACADEMY

- a. Director §68B.35(2) “b”
- b. Law Enforcement Training Coordinator §68B.35(2) “d”
- c. Administrative Officer §68B.35(2) “d”

## 23. MANAGEMENT, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Director, Local Budget Division §68B.35(2) “d”
- c. Director, State Budget Division §68B.35(2) “d”
- d. Information Management Coordinator §68B.35(2) “d”

## 24. NATURAL RESOURCES, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Deputy Director §68B.35(2) “d”
- c. Administrator, Administrative Services Div. §68B.35(2) “d”
- d. Administrator, Waste Mgmt. Assist. Division §68B.35(2) “d”
- e. Administrator, Environmental Protection Div. §68B.35(2) “d”
- f. Administrator, Energy & Geological Res. Div. §68B.35(2) “d”
- g. Administrator, Fish & Wildlife Division §68B.35(2) “d”
- h. Administrator, Forests & Forestry Division §68B.35(2) “d”
- i. Administrator, Parks, Rec. & Preserves Div. §68B.35(2) “d”
- j. Chief, Env. Prot. Compliance & Enf’mnt Bur. §68B.35(2) “d”
- k. Chief, Fish & Wildlife Law Enf’mnt Bureau §68B.35(2) “d”

Environmental Protection Commission

- l. Environmental Protection Comm’n Member - 1 §68B.35(2) “e”
- m. Environmental Protection Comm’n Member - 2 §68B.35(2) “e”
- n. Environmental Protection Comm’n Member - 3 §68B.35(2) “e”
- o. Environmental Protection Comm’n Member - 4 §68B.35(2) “e”
- p. Environmental Protection Comm’n Member - 5 §68B.35(2) “e”
- q. Environmental Protection Comm’n Member - 6 §68B.35(2) “e”
- r. Environmental Protection Comm’n Member - 7 §68B.35(2) “e”
- s. Environmental Protection Comm’n Member - 8 §68B.35(2) “e”
- t. Environmental Protection Comm’n Member - 9 §68B.35(2) “e”

Natural Resource Commission

- u. Natural Resource Comm’n Member - 1 §68B.35(2) “e”
- v. Natural Resource Comm’n Member - 2 §68B.35(2) “e”
- w. Natural Resource Comm’n Member - 3 §68B.35(2) “e”
- x. Natural Resource Comm’n Member - 4 §68B.35(2) “e”
- y. Natural Resource Comm’n Member - 5 §68B.35(2) “e”
- z. Natural Resource Comm’n Member - 6 §68B.35(2) “e”
- aa. Natural Resource Comm’n Member - 7 §68B.35(2) “e”

## 25. PAROLE BOARD

- a. Executive Director §68B.35(2) “b”
- b. Parole Board Member - 1 §68B.35(2) “e”
- c. Parole Board Member - 2 §68B.35(2) “e”
- d. Parole Board Member - 3 §68B.35(2) “e”
- e. Parole Board Member - 4 §68B.35(2) “e”
- f. Parole Board Member - 5 §68B.35(2) “e”

## 26. PERSONNEL, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Facilitator, Personnel Delivery Services Unit §68B.35(2) “d”
- c. Facilitator, Program Development & Admin. Unit §68B.35(2) “d”
- d. Manager, Operations Unit §68B.35(2) “d”
- e. Manager, Legal & Communications Unit §68B.35(2) “d”
- f. Manager, Benefits Unit §68B.35(2) “d”
- g. Manager, Investments Unit §68B.35(2) “d”
- h. Reserved
- i. Reserved

Public Employees Retirement System Board

- j. IPERS Investment Board Member - 1 §68B.35(2) “e”
- k. IPERS Investment Board Member - 2 §68B.35(2) “e”
- l. IPERS Investment Board Member - 3 §68B.35(2) “e”
- m. IPERS Investment Board Member - 4 §68B.35(2) “e”
- n. IPERS Investment Board Member - 5 §68B.35(2) “e”
- o. IPERS Investment Board Member - 6 §68B.35(2) “e”
- p. IPERS Investment Board Member - 7 §68B.35(2) “e”
- q. IPERS Investment Board Member - 8 §68B.35(2) “e”
- r. IPERS Investment Board Member - 9 §68B.35(2) “e”

## 27. PETROLEUM UNDERGROUND STORAGE TANK FUND BOARD, IOWA COMPREHENSIVE

- a. Public Member - 1 §68B.35(2) “e”
- b. Public Member - 2 §68B.35(2) “e”
- c. DNR Dir.’s Designee unless otherwise covered §68B.35(2) “e”
- d. Treas.’s Designee unless otherwise covered §68B.35(2) “e”
- e. Ins. Cmr.’s Designee unless otherwise covered §68B.35(2) “e”

## 28. PUBLIC DEFENSE, DEPARTMENT OF

- a. Adjutant General §68B.35(2) “b”
- b. Deputy Adjutant General §68B.35(2) “c”
- c. Director, Emergency Management Division §68B.35(2) “d”

## 29. PUBLIC EMPLOYMENT RELATIONS BOARD

- a. PER Board Member - 1 §68B.35(2) “e”
- b. PER Board Member - 2 §68B.35(2) “e”
- c. PER Board Member - 3 §68B.35(2) “e”

## 30. PUBLIC HEALTH, DEPARTMENT OF

- a. Director §68B.35(2) “b”
- b. Deputy Director §68B.35(2) “c”
- c. Director, Subst. Abuse & Health Prom. Div. §68B.35(2) “d”
- d. Director, Family & Comm. Health Division §68B.35(2) “d”
- e. Director, Health Protection Division §68B.35(2) “d”
- f. Chief, Fiscal Bureau §68B.35(2) “d”
- g. Health Facilities Council Member - 1 §68B.35(2) “e”
- h. Health Facilities Council Member - 2 §68B.35(2) “e”
- i. Health Facilities Council Member - 3 §68B.35(2) “e”
- j. Health Facilities Council Member - 4 §68B.35(2) “e”
- k. Health Facilities Council Member - 5 §68B.35(2) “e”

Board of Dental Examiners

- l. Executive Director §68B.35(2) “b”

Board of Medical Examiners

- m. Executive Director §68B.35(2) “b”

Board of Nursing Examiners

- n. Executive Director §68B.35(2) “b”

Board of Pharmacy Examiners

- o. Executive Director §68B.35(2) “b”

## 31. PUBLIC SAFETY, DEPARTMENT OF

- a. Commissioner §68B.35(2) “b”
- b. Executive Assistant §68B.35(2) “c”
- c. State Medical Examiner §68B.35(2) “d”
- d. State Fire Marshal §68B.35(2) “d”
- e. Chief of the State Patrol §68B.35(2) “d”
- f. Director of Capitol Police §68B.35(2) “d”
- g. Director, Narcotics Enforcement Division §68B.35(2) “d”
- h. Director, Administration Division §68B.35(2) “d”
- i. Director, Criminal Investigation Division §68B.35(2) “d”
- j. Chief, Governor’s Traffic Safety Bureau §68B.35(2) “d”
- k. Chief, Communications Bureau (State Patrol) §68B.35(2) “d”

## 32. REGENTS, BOARD OF

a.	Executive Director	§68B.35(2) “b”
b.	Deputy Executive Director	§68B.35(2) “c”
c.	Regents Board Member - 1	§68B.35(2) “e”
d.	Regents Board Member - 2	§68B.35(2) “e”
e.	Regents Board Member - 3	§68B.35(2) “e”
f.	Regents Board Member - 4	§68B.35(2) “e”
g.	Regents Board Member - 5	§68B.35(2) “e”
h.	Regents Board Member - 6	§68B.35(2) “e”
i.	Regents Board Member - 7	§68B.35(2) “e”
j.	Regents Board Member - 8	§68B.35(2) “e”
k.	Regents Board Member - 9	§68B.35(2) “e”

University of Iowa

l.	President	§68B.35(2) “b”
m.	Provost	§68B.35(2) “c”
n.	Vice President for Finance and Treasurer	§68B.35(2) “d”
o.	Vice President for Health Sciences	§68B.35(2) “d”
p.	Vice President for Research	§68B.35(2) “d”
q.	Vice President for Statewide Health Serv.	§68B.35(2) “d”
r.	Vice President for University Relations	§68B.35(2) “d”
s.	Business Manager	§68B.35(2) “d”
t.	Director of Financial Mgmt./Budget and Sec.	§68B.35(2) “d”
u.	Director of University Hospitals & Clinics	§68B.35(2) “d”
v.	Director of Purchasing	§68B.35(2) “d”

Iowa State University of Science & Technology

w.	President	§68B.35(2) “b”
x.	Vice President for External Affairs	§68B.35(2) “d”
y.	Vice President for Business and Finance	§68B.35(2) “d”
z.	Vice President for Student Affairs	§68B.35(2) “d”
aa.	Provost	§68B.35(2) “c”
ab.	Vice Provost for Extension	§68B.35(2) “d”
ac.	Vice Provost for Research & Advanced Studies	§68B.35(2) “d”
ad.	Director, Physical Res. & Tech. Institute	§68B.35(2) “d”
ae.	Secretary	§68B.35(2) “d”
af.	Treasurer	§68B.35(2) “d”
ag.	Director of Business Affairs	§68B.35(2) “d”

University of Northern Iowa

ah.	President	§68B.35(2) “b”
ai.	Vice President for Acad. Affairs & Provost	§68B.35(2) “c”
aj.	Vice President for Administration & Finance	§68B.35(2) “d”

ak.	Vice President for Ed. & Student Serv.	§68B.35(2) “d”
al.	Vice President for Development	§68B.35(2) “d”
am.	Executive Assistant to the President	§68B.35(2) “d”
an.	Controller and Secretary	§68B.35(2) “d”
ao.	Director of Purchasing	§68B.35(2) “d”

Iowa School for the Deaf

ap.	Superintendent	§68B.35(2) “b”
aq.	Assistant Superintendent	§68B.35(2) “c”
ar.	Director of Business Operations	§68B.35(2) “d”

Iowa Braille and Sight Saving School

as.	Superintendent	§68B.35(2) “b”
at.	Director of Administrative Services	§68B.35(2) “d”

33. REVENUE AND FINANCE, DEPARTMENT OF

a.	Director	§68B.35(2) “b”
b.	Co-Administrator, Internal Resource Mgmt. Division	§68B.35(2) “d”
c.	Co-Administrator, Internal Resource Mgmt. Division	§68B.35(2) “d”
d.	Administrator for Property Taxes	§68B.35(2) “d”
e.	Co-Administrator, Compliance Division	§68B.35(2) “d”
f.	Co-Administrator, Compliance Division	§68B.35(2) “d”
g.	Co-Administrator, State Financial Mgmt. Division	§68B.35(2) “d”
h.	Co-Administrator, State Financial Mgmt. Division	§68B.35(2) “d”

Tax Review Board

i.	Tax Review Board Member - 1	§68B.35(2) “e”
j.	Tax Review Board Member - 2	§68B.35(2) “e”
k.	Tax Review Board Member - 3	§68B.35(2) “e”

Lottery Division

l.	Commissioner	§68B.35(2) “b”
m.	Assistant Commissioner	§68B.35(2) “c”
n.	Chief, Security Bureau	§68B.35(2) “d”
o.	Chief, Marketing Bureau/Dir. of Marketing	§68B.35(2) “d”
p.	Chief, Financial Bureau/Financial Manager	§68B.35(2) “d”
q.	Sales Manager	§68B.35(2) “d”
r.	Lottery Board Member - 1	§68B.35(2) “e”
s.	Lottery Board Member - 2	§68B.35(2) “e”
t.	Lottery Board Member - 3	§68B.35(2) “e”
u.	Lottery Board Member - 4	§68B.35(2) “e”
v.	Lottery Board Member - 5	§68B.35(2) “e”

## 34. SECRETARY OF STATE'S OFFICE

- a. Secretary of State §68B.35(2) "a"
- b. Chief Deputy Secretary of State §68B.35(2) "c"
- c. Deputy Assistant for Business Services §68B.35(2) "c"
- d. Deputy Assistant for Elections §68B.35(2) "c"
- e. Director, Administrative Services §68B.35(2) "d"

## 35. STATE FAIR BOARD

- a. General Manager §68B.35(2) "b"

## 35A. TELECOMMUNICATIONS AND TECHNOLOGY COMMISSION, IOWA

- a. Executive Director §68B.35(2) "b"
- b. Chief Operating Officer §68B.35(2) "c"
- c. Director, Administrative Division §68B.35(2) "d"
- d. Director, Finance Division §68B.35(2) "d"
- e. Director, Operations & Planning Division §68B.35(2) "d"
- f. Director, Public Affairs Division §68B.35(2) "d"
- g. Director, Outside Plant Division §68B.35(2) "d"
- h. Director, Network Engineering Division §68B.35(2) "d"
- i. Director, Toll Services Division §68B.35(2) "d"
- j. Director, Network Services Division §68B.35(2) "d"

## 36. TRANSPORTATION, DEPARTMENT OF

- a. Director §68B.35(2) "b"
- b. Deputy Director §68B.35(2) "c"
- c. Director's Staff Director §68B.35(2) "d"
- d. Director, Operations & Finance Division §68B.35(2) "d"
- e. Director, Planning & Programming Division §68B.35(2) "d"
- f. Director, Project Development Division §68B.35(2) "d"
- g. Director, Maintenance Division §68B.35(2) "d"
- h. Director, Engineering Division §68B.35(2) "d"
- i. Director, Field Services Division §68B.35(2) "d"
- j. Reserved
- k. Director, Motor Vehicle Division §68B.35(2) "d"

Transportation Commission

- l. Transp. Comm'n Member - 1 §68B.35(2) "e"
- m. Transp. Comm'n Member - 2 §68B.35(2) "e"
- n. Transp. Comm'n Member - 3 §68B.35(2) "e"
- o. Transp. Comm'n Member - 4 §68B.35(2) "e"
- p. Transp. Comm'n Member - 5 §68B.35(2) "e"
- q. Transp. Comm'n Member - 6 §68B.35(2) "e"
- r. Transp. Comm'n Member - 7 §68B.35(2) "e"

37.	TREASURER OF STATE'S OFFICE	
a.	Treasurer of State	§68B.35(2) "b"
b.	First Deputy Treasurer of State	§68B.35(2) "c"
c.	Second Deputy Treasurer of State	§68B.35(2) "c"
d.	Deputy Assistant	§68B.35(2) "d"
38.	VETERANS AFFAIRS, COMMISSION OF	
a.	Executive Director	§68B.35(2) "b"
b.	Commandant, Iowa Veterans Home	§68B.35(2) "d"
c.	Business Manager, Iowa Veterans Home	§68B.35(2) "d"
39.	COMMUNITY COLLEGES	
	<u>AREA I - NICC</u>	
a.	President	§68B.35(2) "b"
b.	Vice President for Educational Services	§68B.35(2) "d"
	<u>AREA II - NIACC</u>	
c.	President	§68B.35(2) "b"
d.	Vice President for Academic Affairs	§68B.35(2) "d"
	<u>AREA III - ILCC</u>	
e.	President	§68B.35(2) "b"
f.	Executive Vice President	§68B.35(2) "c"
g.	Vice President for Academic Affairs	§68B.35(2) "d"
	<u>AREA IV - NCC</u>	
h.	President	§68B.35(2) "b"
i.	Vice President of Educational Services	§68B.35(2) "d"
	<u>AREA V - ICC</u>	
j.	President	§68B.35(2) "b"
k.	Vice President of Academic Affairs	§68B.35(2) "d"
	<u>AREA VI - IVCC District</u>	
l.	President	§68B.35(2) "b"
m.	Executive Vice President	§68B.35(2) "c"
n.	Dean, Ellsworth Community College/ Vice President of Marketing	§68B.35(2) "b," "d"
o.	Dean, Marshalltown Community College/ Vice President for Academic Services	§68B.35(2) "b," "d"
p.	Vice President of C.E. & Community Services/ Vice President for Student Services	§68B.35(2) "b," "d"
q.	MCC Dean of Instruction	§68B.35(2) "d"
r.	ECC Dean of Instruction	§68B.35(2) "d"

AREA VII - HCC

- s. President §68B.35(2) “b”  
 t. Vice President for Academic Affairs §68B.35(2) “d”

AREA IX - EICC District

- u. Chancellor §68B.35(2) “b”  
 v. President, Clinton Comm. College/Vice Chancellor for  
 Academic Affairs & Planning §68B.35(2) “b,” “d”  
 w. President, Muscatine Community College/Vice Chancellor for  
 Ancillary Services §68B.35(2) “b,” “d”  
 x. President, Scott Community College/Vice Chancellor for  
 Student Devel. & Technology §68B.35(2) “b,” “d”

AREA X - KCC

- y. President §68B.35(2) “b”  
 z. Vice President for Instruction §68B.35(2) “d”  
 aa. Vice President for Administration §68B.35(2) “d”

AREA XI - DMACC

- ab. President §68B.35(2) “b”  
 ac. Vice President, Ankeny Campus §68B.35(2) “d”  
 ad. Vice President, Operations §68B.35(2) “d”  
 ae. Executive Dean, Academic/Student Services §68B.35(2) “d”  
 af. Executive Dean, Boone Campus §68B.35(2) “d”  
 ag. Executive Dean, Carroll Campus §68B.35(2) “d”  
 ah. Executive Dean, Newton Campus §68B.35(2) “d”  
 ai. Executive Dean, Urban Campus §68B.35(2) “d”

AREA XII - WITCC

- aj. President §68B.35(2) “b”  
 ak. Exec. Vice President for Instruction §68B.35(2) “d”

AREA XIII - IWCC

- al. President §68B.35(2) “b”  
 am. Reserved

AREA XIV - SWCC

- an. Superintendent/President §68B.35(2) “b”  
 ao. Vice President of Instruction §68B.35(2) “d”

AREA XV - IHCC

- ap. President §68B.35(2) “b”  
 aq. Exec. Vice President/Dean, Finance & Records §68B.35(2) “d”  
 ar. Vice President, Academic Affairs §68B.35(2) “d”

AREA XVI - SCC

- as. President §68B.35(2) “b”  
 at. Dean of Instruction §68B.35(2) “d”

**351—11.3(68B) Annual filing exemption—procedure.** A person occupying a position identified in rule 11.2(68B) may request an annual filing exemption by making written application to the board no later than October 1 of each year. The application shall be accompanied by affidavits signed by the person’s immediate supervisor and the executive or administrative head of the agency (if different) which attest that the person’s position does not involve a substantial exercise of administrative discretion or the expenditure of public funds. Upon receipt of the application and affidavit(s), the board may require the person to make an individual appearance before the board. On or before December 31 of each year, the board shall issue a notice of exemption to persons to whom exemptions are granted. A person who receives an exemption is not required to file a personal financial disclosure statement covering the year in which the exemption is granted. A person is not precluded from making application for an exemption in subsequent years.

**351—11.4(68B) Disclosure statement.**

**11.4(1) *Late if not received or postmarked with a United States Postal Service postmark.*** A personal financial disclosure statement is deemed to be delinquent if it is not physically received in the office of the board or mailed bearing a United States Postal Service postmark dated on or before the report due date. Private postage meters are not acceptable as proof of timely mailing.

**11.4(2) *Extension for holidays.*** If the due date falls on a Saturday, Sunday, or holiday on which the board office is closed, the filing due date is extended to the first working day following, and personal financial disclosure statements received or properly postmarked on that day will not be considered to be delinquent. If the due date falls on a date on which the the board’s office is open, even though it is on a day that the United States Postal Service is not postmarking deposited mail, a disclosure statement is deemed to be delinquent if it is not received in the board’s office on or before the due date or mailed bearing a United States Postal Service postmark dated before the due date.

**11.4(3) *Facsimile filing.*** Rescinded IAB 7/10/02, effective 8/14/02.

**351—11.5(68B) Penalties.**

**11.5(1) *Penalties for late personal financial disclosure statements.*** Any affected member of the executive branch, or candidate for statewide office, that fails to timely file a required personal financial disclosure statement shall be subject to an automatic civil penalty according to the following schedule:

<u>Days Delinquent</u>	<u>Penalty Amount</u>
1 to 14	\$25
15 to 30	\$50
31 and over	\$100

**11.5(2) *Requests for waiver or reduction of assessed penalties.*** Any person who is assessed a penalty under this chapter may apply to the Iowa ethics and campaign disclosure board for consideration of a waiver or reduction of the assessed amount.

**11.5(3) *Additional penalty.*** If an affected member of the executive branch, or a candidate for statewide office, fails to file a personal financial disclosure statement within 45 days of the required filing date, a contested case proceeding may be held to determine whether or not a violation has occurred. If, after notice and opportunity to be heard, it is determined that a violation occurred, any of the sanctions under Iowa Code section 68B.32D may be imposed. Any sanction under Iowa Code section 68B.32D would be in addition to an automatically assessed penalty under this chapter.

**11.5(4) *Payment of penalty.*** The remittance shall be made payable and forwarded to the Iowa Ethics and Campaign Disclosure Board, 514 E. Locust Street, Suite 104, Des Moines, Iowa 50309.

After recording, the remittance shall be deposited in the general fund of the state of Iowa and, if the person has provided a self-addressed, stamped envelope, a receipt will be issued by the board to the person.

**351—11.6(68B) Failure to file true statement.** It shall be a violation of this rule for any affected member of the executive branch, or candidate for statewide office, to file a disclosure statement containing false or fraudulent information. Complaints concerning the filing of a false or fraudulent disclosure statement shall be governed by the provisions of Iowa Code chapter 68B. If it is determined after a contested case proceeding that a false or fraudulent disclosure statement was filed, the board may impose any of the actions under Iowa Code section 68B.32D.

These rules are intended to implement Iowa Code section 68B.35.

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